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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 393032038900

First Inventor Hideki HAGIWARA

Title DIGITAL COMPRESSOR FOR MULTI-CHANNEL AUDIO SYSTEM

Express Mail Label No. EV147810349US

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Express Mail Label No.: EV147810349US

Date of Deposit: July 9, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

Marco Jimenez

21707 U.S. PT  
S. PT  
17186  
07/09/03**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450, Alexandria, VA 22313-1450

- |  |  |
|--|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)<br>(Submit an original, and a duplicate for fee processing)   | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.  | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)   |
| 3. <input checked="" type="checkbox"/> Specification<br>(preferred arrangement set forth below)<br>- Descriptive title of the Invention<br>- Cross Reference to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- Reference to sequence listing, a table, or a computer program listing appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings (if filed)<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure | a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. <input type="checkbox"/> Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identify of above copies |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 9]  | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))   |
| 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3]   | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br>(where there is an assignee)  |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy)   | 11. <input type="checkbox"/> English Translation document (if applicable)  |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 18 completed)  | 12. <input checked="" type="checkbox"/> Information Disclosure Statement<br>(IDS)/PTO-1449   |
| i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)   | 13. <input type="checkbox"/> Preliminary Amendment   |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>Should be specifically itemized  |
| 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:   | 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document<br>(if foreign priority is claimed)  |
| <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)  | 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  |
| Prior application information:   | 17. <input type="checkbox"/> Other _____   |

**ACCOMPANYING APPLICATION PARTS**

- |   |  |
|---|--|
| 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))  | <input type="checkbox"/> Power of Attorney By Assignee           |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br>(where there is an assignee)   | <input checked="" type="checkbox"/> Copies of IDS Citation(s): 1 |
| 11. <input type="checkbox"/> English Translation document (if applicable)   |  |
| 12. <input checked="" type="checkbox"/> Information Disclosure Statement<br>(IDS)/PTO-1449  |  |
| 13. <input type="checkbox"/> Preliminary Amendment  |  |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>Should be specifically itemized                                   |  |
| 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document<br>(if foreign priority is claimed)                                 |  |
| 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. |  |
| 17. <input type="checkbox"/> Other _____  |  |

**19. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label

\* 2 5 2 2 4 \*

or  Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	David L. Fehrman				
Address	Morrison & Foerster 555 W. 5th Street, 35th Floor				
City	Los Angeles	State	CA	Zip Code	90013
Country	US	Telephone	(213) 892-5601	Fax	(213) 892-5454
Name (Print/Type)	David L. Fehrman			Registration No. (Attorney/Agent)	28,600
Signature				Date	July 9, 2003

II  
la-667201

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

 Applicant Claims Small Entity Status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$874.00)

Complete if Known	
Application Number	Not yet assigned
Filing Date	Concurrently herewith
First Named Inventor	Hideki HAGIWARA
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	393032038900

## METHOD OF PAYMENT

 Check  Credit Card  Money Order  Other

Deposit Account Number

03-1952

Deposit Account Name

Morrison &amp; Foerster LLP

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) required Under 37 CFR 1.16 and 1.17  
 Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1001	750	2001	375
1002	330	2002	160
1003	520	2003	260
1004	750	2004	375
1005	160	2005	80
SUBTOTAL (1)		(\$750.00)	

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
18	4		0	x 18	= \$0
-20	-3		1	x 84	= \$84
=	=				= \$
Large Entity	Small Entity		Fee Description		
Fee Code	Fee (\$)		Fee Code	Fee (\$)	
1202	18		2202	9	Claims in excess of 20
1201	84		2201	42	Independent claims in excess of 3
1203	280		2203	140	Multiple dependent claims, if not paid
1204	84		2204	42	**Reissue independent claims over original patent
1205	18		2205	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$84.00)			

\*\* or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity

Small Entity

Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per properties (times number of properties)	40
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
SUBTOTAL (3)		(\$40.00)			

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	David L. Fehrman	Registration No. (Attorney/Agent)	28,600	Telephone	(213) 892-5601
Signature	(David L. Fehrman)			Date	July 9, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

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